

Procedures and Standards for the Gabelli School of Business - Law and Ethics Area

A. Procedures

1. General Procedures

a. Establishing Procedures

Procedures are established by a majority vote with a 50% quorum of the Area's PC membership present. Future changes to these procedures require the same.

b. Application Packets

The applicant must include in the application packet his or her signed application, CV, syllabi, student evaluation spreadsheet prepared in the required manner including all such evaluations at Fordham, and research material that the candidate wants considered. Claims that research has been accepted for publication must be documented.

2. Procedures for Contract Renewal and Tenure Decisions

Outside (i.e. Non-Fordham) Reviewers

In the case of tenure and promotion decisions, outside reviewer letters are solicited. By October 1st (for tenure applicants), the applicant shall provide (1) a packet of papers, books, and other material that he or she wants a representative outside reviewer to consider, and (2) his or her CV (in electronic form) to his or her Area Chair to aid in the outside reviewer selection process. The CV would be submitted to potential outside reviewers to help the reviewer decide whether to agree to conduct the review. By November 1st (for tenure applicants), the applicant's Area Chair, in consultation with the applicant's Area PC members, must select at least 3 qualified outside reviewers who have agreed to conduct the review in the required time. These 3 reviewers are not to be selected by the candidate. They are expected to be impartial outside reviewers. The candidate will provide at least 4 potential reviewers the candidate feels would be qualified to judge his or her published work. The Area Chair will provide the list with at least 7 (a minimum of 4 from the candidate and a minimum of 3 from the Area Chair) outside reviewers (and all relevant contact information such as their address, phone number, e-mail address, and fax number), and the packets to the respective dean. Also, by November 1st (for tenure applicants), the Area Chair will furnish to reviewers (1) packets, each containing their CV and copies of the papers, books, and other materials he or she wants sent to the outside reviewers to help the reviewers determine the impact that the applicant's research has had or is likely to have upon the field. Each set of materials will contain a letter from the Area Chair. The Chair will also keep one packet as a back-up copy.

Teaching Evaluations

The Dean's Office will provide the applicant's teaching evaluations to the PC and to the applicant in the standard spreadsheet format adopted by the PC. The standard spreadsheet and notes below the standard spreadsheet should be modified as necessary to completely and accurately reflect all of the applicant's student evaluations since his or her arrival at Fordham. Missing evaluations should be indicated as such. The

applicant is invited to audit the evaluations for accuracy and completeness and to aid in improving the spreadsheet's accuracy and completeness.

3. Procedures for Promotion to Associate Professor Decisions

When the applicant was granted tenure in the same calendar year as he or she is applying for promotion, he or she will use his or her tenure application (*which requires a new application distinct from the tenure application*). He or she may use the tenure-supporting materials (including the tenure-related outside letters) in his or her promotion application.

When the applicant was not granted tenure in the same calendar year as he or she is applying for promotion, he or she must prepare a separate promotion application. These applicants shall signify their intentions to apply for promotion by April 15th of the calendar year that they are applying for promotion. Their intentions are signified by providing the Area Chair with (1) the references and packets required of tenure applicants as specified in Section A2, and (2) their CVs and packets as required of tenure applicants as specified in Section A2. Teaching evaluation spreadsheets shall be included with the applicant's October 15th application. The spreadsheet shall be prepared in the manner specified for tenure applicants by the Contract Renewal and Tenure PC.

4. Procedures for Promotion to Full Professor Decisions

These applicants shall signify their intentions to apply for promotion by April 15th of the calendar year that they are applying for promotion. Their intentions are signified by providing the Area Chair with (1) the references and packets required of tenure applicants as specified in Section A2, and (2) their CVs and packets as required of tenure applicants as specified in Section A2. Teaching evaluation spreadsheets shall be included with the applicant's October 15th application. The spreadsheet shall be prepared in the manner specified for tenure applicants by the Contract Renewal and Tenure PC.

5. Procedures for Endowed and Named Professorships

We will follow the recommendations of the senate ad-hoc committee on appointment procedures.

B. Standards

1. Standards for Reappointment

The decision to reappoint a faculty member shall be based on demonstrated progress toward and estimated promise of a future favorable tenure decision.

2. Standards for Tenure (Listed in order of relative importance)

- a. Research: The candidate is expected to establish an active and ongoing research program. The committee will consider publication in law reviews, law school specialty journals, refereed journals, publication of books and book chapters, presentation of research results at conferences, and other research activity in

making this evaluation. Both current research activity and future promise will be considered. In addition to the number and quality of published articles, one criterion that could be considered is the ranking of the respective journal in the scholarly community.

- b. Teaching: Teaching is an important part of the Area's mission. The candidate is expected to have received favorable teaching reviews. One or more classes taught by the candidate will be observed by the Area Chair and/or other tenured members of the PC. The evaluator(s) will report his or her comments to the entire committee. The committee will consider ways in which the candidate helps students reach their educational goals including appropriateness of the course materials, availability to students, and development of new courses or materials.
- c. Service: Probationary Faculty are expected initially to focus primarily on developing their research and teaching programs. As they become more established in these areas, they should begin to contribute more service to the area, School, University, and public. Past service and the promise of future service will receive significant weight.

3. Standards for Promotion to Associate Professor

The standards for promotion to Associate Professor are similar to those for tenure and frequently both decisions will occur in the same year. Consideration for promotion will focus on actual accomplishments rather than future promise.

4. Standards for Promotion to Full Professor

Appointment as Professor requires distinguished academic achievement evidenced by outstanding contributions, recognized by scholars within and outside the University, in teaching, research and scholarly publications, and service. Significant time in rank is encouraged.

5. Standards for Endowed and Named Professorships

The area will follow the recommendations of the senate ad-hoc committee on appointment procedure.

Unanimously adopted by the Law and Ethics Area: September 14, 2016